

2012/13 AGS Action Plan – Update November 2013

AG Ref	Significance	Gap Identified	Agreed Action	Responsible Officer	Timescale	Updated Position Nov 13	Current Status
1.7	Not Significant	Corporate Procurement Strategy in place covers 2009 – 2012 and a lack of a Corporate Contract Management Policy.	Corporate Procurement Strategy to be updated. Council wide contract management approach to be developed.	Terry Brewer – Divisional Director Commercial, Contracts & Procurement	Sept 2014	The development of a Commercial Strategy is well under way and integral to that will be the creation of a council wide contract management approach. We expect delivery of these respective pieces of work by 31 March 2014.	In progress
3.6	Not Significant	During 2012/13 it was identified that a standard's complaint had not been referred in a timely manner.	Reporting lines will be reviewed for such cases to ensure that changes in structures do not in future impinge on the timeliness of complaints being passed to the Standards Committee.	Simon George – Director of Finance & Assurance	Sept 2013	Email sent to senior managers in Finance and Assurance, alerting them to the fact that they can refer issues directly to the Monitoring officer if they believe an issue is not being dealt with in a timely fashion	Complete
4.8	Not Significant	Loss of risk management expertise.	Plan to be developed to maintain core elements of risk management.	Susan Dixson – Head of Internal Audit	Sept 2013	The plan is that the Internal Audit team will maintain and update the Corporate Risk Register with an allocation of time to achieve this in the Annual Plan.	Complete

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5.3	Not Significant	The Council's Training & Development Plan does not specifically cover governance training.	An e-learning tool is currently being developed.	Lesley Clarke – Organisational Development Manager	Nov 2013	The complexity of governance requirements is resulting in the need to establish a broader range of e-learning than originally planned. This is, however, underway and will be completed by March 2014.	In progress
7.2	Not Significant	BC team unable to confirm that all Divisions have up to date BC plans.	Exercise currently underway to develop/update BC plans across the Council.	Kan Grover - Service Manager - Emergency Planning & Business Continuity	Nov 2013	Across the council, 43% of BC Plans have been reviewed and amended (Green), 12% of BC Plans are currently being amended (Amber), and 45% of BC Plans are still due for review (Red).	In progress
7.9	Significant	Application to the PSN Code of Connection (Government Connection) has been rejected on the basis that the scope, which only covered the secure part of the network, was too narrow.	Re-submission covering the whole network	Rahim St John - Head of Business Transformation Partnership	Oct 2013	The Council is engaged with the Cabinet Office on agreeing a plan for compliance and criteria for certification. It is envisaged that the Council will be broadly compliant by the end of December 2013 with the completion of the ITO Refresh and other projects, but it is hoped that certification can be achieved sooner subject to remediation plans being agreed by Cabinet Office.	In progress
c/f 13.11	Not Significant	Resources Workforce Strategy not finalised.	To be finalised.	Tom Whiting	Nov 2013	A draft is being developed and should be completed in Q4.	In progress